



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
September 2015

<u>Fraud Statistics</u>	<u>Month/September</u>	<u>YTD</u>
Total Referrals Received.....	176	1,359
Closed Referrals.....	126	1,098
Open Cases (Investigations – includes prior years).....		365
Assigned Cases (Investigations).....	15	80
Closed Cases (Investigations).....	12	118
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....		3
Charges (7 felony, 1 misdemeanor)	8	58
Convictions (0 felony, 2 misdemeanor)	2	100
Restitution Ordered..... \$	181.68	239,407.34
Restitution Agreed..... \$.00	.00
Restitution Total..... \$	181.68	239,407.34
Investigation Expenses to Division		
Awarded..... \$	500.00	10,597.86
Collected..... \$	50.00	10,675.08
DOI Fines..... \$.00	1,000.00
Administrative Subpoenas Issued.....	8	14
Non-Licensed Individuals.....	8	14
Licensed (active/inactive individuals).....		
Subpoenas Received.....	1	8

Convictions Activity

Tom Baker Construction – Sherry Baker provided false workers' compensation certificates to obtain contracted work. Baker was charged with one count of fraudulent insurance acts over \$500. She was sentenced to six months diversion and 30 hours of community service. Restitution of \$902.97 was ordered and paid.

Amanda Thoms – Thoms, an agent, produced four additional insurance policies that were not agreed upon. Thoms forged applications for these policies without the knowledge of the employees whose names were shown as insureds. Thoms was charged with two counts of forgery second degree, which was amended to one count of fraudulent insurance acts less than \$500 per the plea agreement. Thoms was ordered to pay \$181.68 in restitution to AFLAC. She was ordered to pay a \$1,000 fine to the Department of Insurance with \$500 suspended.